

# Raman standardization landscape

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### Need for standardization in Raman spectroscopy

#### **Direct information from Raman spectra:**

- Number of peaks
- Peak position (Raman shift, cm<sup>-1</sup>)
- Peak intensity/area (relative or absolute)
- Peak shape and FWHM (cm<sup>-1</sup>)
- For mapping, spatial position (x, y, z)

#### **Depends on:**

- Sample, sample preparation
- Environment
- Operator, acquisition
- Instrument

#### **Calibration/qualification**

- Raman shift wavenumber calibration (x axis)
- Raman intensity/response calibration (y-axis)
- Spectral (optical) / spatial resolution

Procedures Terminology

#### **Properties:**

- Molecules or compounds identification
- Quantities or proportions
- Structural characteristics
- Physico-chemical environment
- Spatial arrangement

#### Data analysis

- Chemometrics
- Databases

#### Frecuency:

- Time stability of the device
- Required precision and accuracy

**Reference materials:** Available, stable, inert, well distributed Raman signal, certified



### Impact of standardization in Raman spectroscopy

- Support academic research by increasing the comparability of Raman data
- Foster the **industrial implementation of Raman spectroscopy** as real-time, in-line and distributed monitoring and control/decision tool, improving the business of existing products/processes due to improved product quality and trust, waste minimization and time and energy saving.
- Development of **new business** based on Raman-active materials that face different societal challenges related to energy, security, or safety.



#### Difficulties

- Lack of interoperability
- Increasingly softer hardware
- Internet of things





Standardisation bodies and committees that published and are currently discussing Raman related documents





TC 229 Nanotechnologies TC 201 Surface chemical analysis TC 61 Plastics TC 147 Water Quality

E113 Nanotechnology for electrotechnical products and systems



Standards Worldwide

E 56 Nanotechnology E 13 Molecular Spectroscopy and Separation Science E 55 Manufacture of pharmaceutical products E 54 Homeland Security



### Metrology institutes offering Raman CRMs







NIST SRMs 2241-6 (y-axis) NIST atomic spectra database NMIJ RM8158-a (x-axis) NMIJ CRM 5606-a (x-axis) NIM GBW 13651-4, 13664 (x-axis) NIM GBW 13650 (y-axis)

https://www.nist.gov/pml/atomic-spectra-database

### Other MIs involved in Raman standardization activities









### Main pharmacopoeias

Official publication defining specifications, standards and standard test methods that ensure the quality of medicines.

General description of Raman spectroscopy, apparatus, calibration/verification and measurements



EP-11, January	USP44-NF39, 2021	JP-18, June 2021	ChP, 2020	
2023	858 and 1858	2.26.	4 <sup>th</sup> part, 2.8	
2.2.48				

Interlaboratory studies (ILS) and consultations surveys involving Raman aiming at standards development Versailles Project on Advanced Materials and Standards

40<sup>th</sup> aniversary in 2022!!

#### TWA 42: Raman Spectroscopy and Microscopy

- 1. Calibration of the Raman shift
- 2. Raman spectroscopy for TiO<sub>2</sub> nanoparticles mixtures
- 3. Measurement of lateral and axial resolution of Raman microscope
- 4. Measurement of laterial resolution of Raman microscopy with nanowire artefacts
- 5. Consultation survey

Factors affecting reproducibility in Raman Spectroscopy <a href="https://www.surveymonkey.com/r/LQKCPGD">https://www.surveymonkey.com/r/LQKCPGD</a>



#### • TWA 41: Graphene and Related 2D Materials

- 1. Structural characterisation of CVD-grown graphene: Coverage on substrate, number of layers, level of disorder
- 11. Determination of disorder and number of layers of graphene flakes by Raman Spectroscopy

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### Terminology



### Raman terminology

#### **Terminology standards:**

- Directly related to Raman:
  - Molecular spectroscopy ASTM E131-10
  - Charge-Coupled Device (CCD) detectors ASTM E2642-09
  - **Optical interface analysis** ISO/DIS 18115-3
- **Transversal** (e.g. ASTM E456 quality and statistics, ISO Guide 30:2015 reference materials)

#### Raman-relevant terms in other kind of standards:

- Raman related (e.g. ISO 23978:2020 natural gas)
- **Other techniques** (e.g. ASTM E2719 fluorescence)

#### **On line open access terminological databases:**

- IUPAC Gold book: <a href="https://goldbook.iupac.org">https://goldbook.iupac.org</a>
- ISO open database: <u>https://www.iso.org/obp</u>
- IEC Electropedia: <u>https://www.electropedia.org</u>

- Repeated and/or different definitions
- Missing terms
- Open access



### Raman terminology

ASTM E131-10 (2015) (Standard Terminology Relating to Molecular Spectroscopy)

ASTM E2642-09 (2015) (Standard Terminology for Scientific Charge-Coupled Device Detectors)

**ASTM D8333** (Standard Practice for Preparation of Water Samples with High, Medium, or Low Suspended Solids for Identification and Quantification of Microplastic Particles and Fibers Using Raman Spectroscopy, IR Spectroscopy, or Pyrolysis-GC/MS)

**ASTM D6122** (Standard Practice for Validation of the Performance of Multivariate Online, At-Line, Field and Laboratory Infrared Spectrophotometer, and Raman Spectrometer Based Analyzer Systems)

ASTM E2719 (Standard Guide for Fluorescence—Instrument Calibration and Qualification)

**ASTM E1655** (Standard Practices for Infrared Multivariate Quantitative Analysis)

ASTM E456 (Standard Terminology Relating to Quality and Statistics)

**ASTM E2056** (Standard Practice for Qualifying Spectrometers and Spectrophotometers for Use in Multivariate Analyses, Calibrated Using Surrogate Mixtures)

ASTM D7940 (Standard Practice for Analysis of Liquefied Natural Gas (LNG) by Fiber-Coupled Raman Spectroscopy)

ISO/TS 80004-13:2017 (Nanotechnologies — Vocabulary — Part 13: Graphene and related two-dimensional (2D) materials)

**ISO/TS 80004-6:2021** (Nanotechnologies — Vocabulary — Part 6: Nano-object characterization)

**ISO 18115-1:2013** (Surface chemical analysis — Vocabulary — Part 1: General terms and terms used in spectroscopy)

**ISO 18115-2:2013** (Surface chemical analysis — Vocabulary — Part 2: Terms used in scanning-probe microscopy)

**ISO/DIS 18115-3** (Surface chemical analysis — Vocabulary — Part 3: Terms used in optical interface analysis)

ISO 23978:2020 (Natural Gas - Upstream area - Determination of composition by Laser Raman spectroscopy)

ISO Guide 30:2015 (Reference materials — Selected terms and definitions)

### Calibration/qualification/verification



#### Affected by temperature and mechanical drifts

#### Parameters to be determined:

#### a) Wavenumber calibration:

Correspondence pixel position (i) - Raman scattering wavelength ( $\lambda_{R,i}$ )

#### b) Raman shift calculation (laser zeroing): Exact excitation wavelength ( $\lambda_0$ )

- Gas lasers: stable and known. Accuracy ~1 pm (0.04 cm<sup>-1</sup> at 500 nm)
- Solid and semi-conductor lasers: must be evaluated

Raman shift 
$$= \frac{1}{\lambda_0} - \frac{1}{\lambda_{R,i}}$$

#### Procedure (at least daily for a given laser and grating)

- 1. Select the required **accuracy**
- 2. Select the Raman shift range
- 3. Select relevant reference sample(s)
- 4. Select the laser wavelength and grating
- 5. Acquire the reference spectrum
- 6. Generate/modify the correlation curve



https://www.elodiz.com/calibration-and-validation-of-raman-instruments/

grating



• RMs for high accuracy (< 1 cm<sup>-1</sup>)

ASTM E1840-96 (2014): Low-pressure arc lamp emission lines ATSM E2529-06 (2014): Ar, Kr and Xe provided, not Ne or Hg

→ NIST spectra database manufacturer

- Alignment
- Dense spectra
- $\lambda_0$  must be determined



#### RMs for lower accuracy (> 1 cm<sup>-1</sup>)

• Silicon: NMIJ CRM 5606-a Single-crystal {100} for positron defect measurements

*Itoh, J. Raman Spectrosc. (2020):* **520.45** cm<sup>-1</sup> ± 0.28 cm<sup>-1</sup>

- Manufacturers and users option, but no CRM
- Doping, residual stress, orientation
- Just one Raman band

#### **NIM GBW**

13651	13652	13653	13654	
Sulfur	Naphthalene	Cyclohexane	Paracetamol	
83.2 ± 2.2	513.7 $\pm$ 2.3	$384.1\pm2.3$	$214.1 \pm 2.4$	968.5 ± 2.3
153.2 ± 2.2	763 $\pm$ 2.2	426.5 $\pm$ 2.4	328.8 ± 2.3	$1104.6 \pm 2.7$
$219.2 \pm 2.1$	1019.8 $\pm$ 2.2	801.9 $\pm$ 2.4	$391.8\pm2.2$	$1168.2 \pm 2.3$
473.2 ± 2.1	1146.3 $\pm$ 2.3	1028.1 $\pm$ 2.2	465.3 ± 2.2	1236.5 ± 2.3
	1381.3 $\pm$ 2.2	1157.6 $\pm$ 2.4	504.3 ± 2.4	1371.2 ± 2.4
	1463.5 $\pm$ 2.3	1266.4 $\pm$ 2.3	$651.8\pm2.4$	1515.2 ± 2.3
	1576.3 $\pm$ 2.2	1444.2 $\pm$ 2.2	710.9 ± 2.2	$1561.4 \pm 2.3$
	3055.1 $\pm$ 2.3	2664.2 $\pm$ 2.2	797.1 ± 2.4	$1648.5 \pm 2.6$
		2852.4 $\pm$ 2.2	834 $\pm$ 2.3	2930.4 ± 2.2
		2923.4 $\pm$ 2.2	857.5 ± 2.2	3064.6 ± 2.2
		2937.5 $\pm$ 2.2		

Raman shift range 85-3327 cm<sup>-1</sup>

- ASTM E1840-96 (2014): solid and liquid organic RMs
  - 1. Naphthalene
  - 2. 1,4 bis(2-methylstyryl)benzene
  - 3. Sulfur
- EP 4. Cyclohexane
  - Paracetamol, 4-acetamidophenol, Tylenol (IUPAC's N-(4-hydroxyphenyl)ethanamide)
  - 6. Benzonitrile
  - 7. Toluene/acetonitrile
  - 8. PS

EΡ

NIST SRM 706a broad molecular mass distribution pellets NIST SRM 1921b IR transmission wavelength/wavenumber No Raman shift information/certification

Next slide for Raman CRM from NIM and NMIJ

- Mostly FT-Raman
- Uncertainty not provided
- No reference to CRM



values from ASTM higher tolerances

Raman shift ASTM		NMIJ	NIM		Pharmacopoeia			
(cm⁻¹)	E1840	E1683	RM8158-a	GBW13664	GBW13653	<b>United States</b>	European	Japanese
	$384.1\pm0.78$	384.1			$384.1 \pm 2.3$			
	$  426.3 \pm 0.41  $				$426.5 \pm 2.4$			
	801.3 $\pm$ 0.96	801.3			$801.9 \pm 2.4$		801.3 $\pm$ 2.5	
	$1028.3 \pm 0.45$				1028.1 $\pm$ 2.2		$1028.3 \pm 2.0$	
e	$ 1157.6 \pm 0.94 $				1157.6 $\pm$ 2.4			
an	$1266.4 \pm 0.58$				1266.4 $\pm$ 2.3		$1266.4 \pm 2.0$	-
l xa	$1444.4 \pm 0.30$	1444.4			1444.2 $\pm$ 2.2		$1444.4 \pm 2.5$	
h h	$2664.4 \pm 0.42$				$2664.2\pm2.2$			
<b>V</b> cl	2852.9 $\pm$ 0.32	2852.9			$\textbf{2852.4} \pm \textbf{2.2}$		$2852.9 \pm 3.0$	
Ú Ú	$2923.8 \pm 0.36$				$2923.4 \pm 2.2$			
					$2937.5 \pm 2.2$			
	620.9 $\pm$ 0.69		620.7±1.2	621.2±2.1		620.9 $\pm$ 3	$620.9 \pm 2.5$	$620.9 \pm 1.5$
	795.8 $\pm$ 0.78		795.1±1.2	795.5±2.2				
	$ 1001.4 \pm 0.54 $		1001.2±1.2	1001.0±2.1		1001.4 $\pm$ 3	$1001.4 \pm 2.0$	$1001.4 \pm 1.5$
	$ 1031.8 \pm 0.43 $		1031.5±1.2	1031.2±2.1		1031.8 $\pm$ 3	$1031.8 \pm 2.0$	$1031.8 \pm 1.5$
L H H] <sup>u</sup>	$1155.3 \pm 0.56$		1154.9±1.2	1154.6±2.3		Qualitativa	Llon dhold	
e e	$ 1450.5 \pm 0.56 $	-	1448.4±1.2	1449.0±2.1		Qualitative	Handheid	
en l	$1583.1 \pm 0.86$		1582.7±1.2	1583.2±2.2				
ţ,	$ 1602.3 \pm 0.73 $		1602.1±1.2	1602.4±2.1		$1602.3 \pm 1.5$	$1602.3 \pm 3.0$	$1602.3 \pm 1.5$
/	$ 2852.4 \pm 0.89 $		2851.0±1.1	2851.3±2.3				
	2904.5 $\pm$ 1.22		2906.2±1.2	2907.5±2.5				
<b></b>	$3054.3 \pm 1.36$		3055.1±1.1	3055.7±2.4		$3054.3 \pm 3.0$	3054.3	3054.3 ± 3.0

### Raman intensity/response calibration (y-axis)

# **Response/intensity depends on:** (absolute and relative)

- Laser wavelength and intensity
- Spectrometer
- Grating (grooves/mm)
- Detector
- Optics, focus, refractive indexes
- Polarization of irradiated or scattered light
- Filters (edge filter)
- Sampling (time, accumulations, temperature)
- Sample (geometry, size, density, cross-section, matrix)

#### Procedure (after system modification)

- 1. Select relevant reference sample(s)
- 2. Select the configuration (optics, grating, etc.)
- 3. Acquire the reference spectrum
- 4. Generate the instrument response function (IRF)



Figure 2. Comparison of the two Raman instruments analysing the same sample - each using a different excitation laser wavelength, 532nm & 785nm.



### Raman intensity/response calibration (y-axis)

#### **RMs for relative intensity calibration: ASTM E2911-13**

- luminescent glasses: **NIST SRMs 2241-6** wavelength-specific (missing samples), limited Raman shift range, rough (focus?), T dependent, stock problems, price
- Broadband white light lamp, e.g. tungsten (W) Unpractical (positioning, heating), recalibration needed
- Semi-quantitative measurements: Internal standard + calibration curve
- Correlate absolute intensity with sample amount in the sampling V:
  - Packing and particle-size differences
  - Homogeneity and opacity differences
  - Fluorescence variations
  - Absorption by the matrix or the sample itself
  - Polarization effects
  - Sample heating: phase change, burning, polymorph conversion





HCA Kaiser



### Raman intensity/response calibration (y-axis)

CRM	Composition	Laser
NIST SRM 2241	0.02 mol % Cr <sub>2</sub> O <sub>3</sub>	784-786
(out of stock, 2022?)	Na borosilicate glass	nm
NIST SRM 2242a	0.15 wt % $MnO_2$ Borate glass (69.85% $B_2O_3,5\%$ SiO <sub>2</sub> ,5% ZnO,5% Li <sub>2</sub> O)	532.2 nm
NIST SRM 2243 (discontinued 2014)	0.15 wt % MnO <sub>2</sub> Borate glass (Same as 2242)	514.5 nm 488 nm
NIST SRM 2244	0.7 wt % Cr <sub>2</sub> O <sub>3</sub> Borosilicate glass	1064 nm
NIST SRM 2245	Bi-doped oxide (0.11 mol %) Phosphate glass	633 nm
NIST SRM 2246 (out of stock)	Cr-doped oxide (0.30 mol %) Borosilicate glass	830 nm
NIM GBW13650 (not to Europe)	Zn borosilicate glass	514.5 nm

Δ

### **Commercial Raman units**

#### Handheld & Portable Raman units

• Factory calibrated



#### **Micro-Raman units**

- (Auto) alignment: laser beam, CCD area, slit center and opening
- Quick calibration: (internal) Si peak position and counts
- Advanced calibration: x- and y-axes
  - (Internal) Ne lamp
  - (Internal) W filament (white light)
  - External RM: Organic compounds



- few information and access to the algorithms
- Internal lamps not for the full optical path



### Spectral resolution

#### **Adjacent bands resolution**

- Model transfer
- Detect laser broadening, misalignment

#### $\delta\lambda$ decreases (resolution improves) with:

- 1 Laser wavelength
- ↑ Grating
- ↑ Focal length
- $\uparrow$  CCD pixel number (n) and width ( $W_p$ )
- $\downarrow$  Slit width (W<sub>s</sub>)
- $\downarrow$  Natural bandwidth of the peak

May vary in the low, middle, and long Raman shift regions

#### Procedure (after system modification)

- 1. Select relevant reference sample
- 2. Select the **configuration**
- 3. Acquire the reference spectrum
- 4. Fit the peak and calculate FWHM





https://www.edinst.com/blog/spectral-resolution-in-raman-spectroscopy/



### Spectral resolution

ATSM E2529-06 (2014) Testing the resolution of a Raman spectrometer

• **low-pressure arc lamp emission lines** as for x-axis calibration (Lorentzian function) Ar, Kr and Xe provided



### Qualification, performance validation and more

#### a) ASTM E1683-02 (2014)

- carbon tetrachloride (toxic), indene, cyclohexane, L-cystine: no CRM
- pen lamp
- b) ASTM E1866-97 (2021) Guide for multichannel, FT-Raman, pulsed laser, safety concerns, etc.

#### Univariate tests:

#### Multivariate tests:

- energy level pass/fail spectrophotometer performance tests
- photometric noise
- short-term baseline stability
- optical contamination
- purge contamination
- wavelength stability
- resolution stability
- photometric linearity
- **ASTM E1654-94 (2013)** Ionizing radiation-induced spectral changes in optical fibers and cables for use in remote Raman fiberoptic spectroscopy
- IEC/TC 86 "Fibre optics". SC 86C "Fibre optic systems and active devices"
  - processes (IEC TS 61290-6)
  - performance testing (IEC TS 61290-10:5, IEC TR 62324)
  - safe use (IEC TS 61292-4)

Related to telecommunication systems



### Spatial resolution of Raman microscope

#### minimum size that can be individually analyzed

#### Spatial resolution increases ( $\delta xyz$ decreases) with:

- ↓ Laser wavelength
- ↑ N.A. of objective
- ↑ refractive index of the medium (oil vs. air)
  - ISO 18516:2019 beam based imaging methods Straight edge, narrow line and grating methods
  - ISO 18337:2015 (2022) Confocal fluorescence microscopy Point spread function (PSF) (by imaging an object of negligible size)



Applicable to Raman?  $\rightarrow$  VAMAS TWA 42

Axial line profile of 2D Raman band of suspended graphene layer

### Spatial resolution of Raman microscope

a) Lateral resolution: light diffraction limit

b) Confocality/axial/depth resolution: optical alignment indicator

- Confocal pin hole
- CCD area

#### **Procedure:**

- 1. Si spectrum as a function of depth and hole apperture (H).
- 2. Intensity vs. H
- 3. FWHM of depth profiling intensity peak (axial resolution) vs. H
- 4. Compare intensity and axial resolution curves with previous ones





Dubessy et al. Instrumentation in Raman spectroscopy part 2 : how to calibrate your spectrometer





#### **Specific applications of Raman spectroscopy:**

#### • Water ASTM D8333 – 20

- Sample preparation for microplastics identification and quantification
- wet peroxide oxidation
- enzimatic digestion
- sample transferred in a microscope glass slide
- QA/QC: reference 150–250 µm spheres
- Liquefied Natural Gas (LNG) ASTM D7940 and ISO 23978
  - volume fractions of individual molecular species by fiber-coupled Raman

#### Nanoobject ISO/TR 18196:2016

- Technique matrix
- advantages, limitations and relevant standards on Raman spectroscopy



#### **Specific applications: Graphene**

- ISO/TS 21356 -1 (and -2) "Nanotechnologies Structural characterization of graphene"
  - characterisation sequence
  - example Raman spectra
- IEC TS 62607 series "Nanomanufacturing-Key control characteristics". Part 6: graphene-based materials

Standard	Description		
IEC TS 62607-6-6	<ul> <li>Part 6-6: Strain uniformity: spatially-resolved Raman spectroscopy</li> <li>for single-layer graphene</li> <li>Uses the width of the 2D Raman peak</li> </ul>		
IEC TS 62607-6-11	<ul><li>Part 6-11: Defect density: Raman spectroscopy</li><li>films grown by CVD and exfoliated flakes</li></ul>		
PNW TS 113-570 ED1	Part 6-12: Number of layers: Raman spectroscopy, optical reflection		
IEC TS 62607-6-14	<ul> <li>Part 6-14: Defect level: Raman spectroscopy</li> <li>Films, I<sub>D</sub>/I<sub>G</sub></li> <li>Powders, I<sub>D+D'</sub>/I<sub>2D</sub> (pressed onto metallic, glass or silicon wafer)</li> </ul>		
PWI 113-131	Part 6-28: Number of layers: Raman spectroscopy		
PNW TS 113-580 ED1	Part 6-29: Defectiveness: Raman spectroscopy		



#### **Chemometrics**

#### Mathematical, statistical and other methods using formal logic to:

- a) design or select optical measurement procedures and experiments
- b) Provide maximum information by analyzing chemical data

#### Multivariate data analysis (MVDA)

- Raman as **process analytical technology** (PAT): spectra predicted or classified by as a quality control
- Qualification and validation of Raman instrument

#### **MVDA-specific standards related to Raman:**

- Surrogate calibration and testing: ASTM E2056-04 (2016)
- Empirical calibration: ASTM E2617-17
- Liquid petroleum products and fuels: ASTM D6122-20a
- Pharmaceuticals: ASTM E2891-20

#### Growing number of standards!

#### Mostly limited to:

- partial least squares (specifically PLS-1)
- principal component regression (PCR)
- multi linear regression (MLR)

#### **Other relevant standards**

- ASTM E1655-17 IR spectrometer calibration
- ASTM E1866-97 (2021) spectrophotometer perf
- ASTM E178-21 outliers (univariate)
- Etc.

### Pharmacopeiae



### Take-home message:

#### standardisation landscape in Raman spectroscopy is incomplete, complex, and evolving

#### Manufacturers:

- Access to calibration methods
- Access to raw data

#### **Standardisation bodies/documents:**

- New/updated/extended standards: broader scope and state-of-the-art industrial and academic advances
- Easy to use documents
- Consensus/coherence
- Open access

#### **Metrology institutes/CRMs:**

- Complete the list for the actual needs and uses (e.g. NIST SRM for laser of lower wavelength, Si, Ne)
- More detailed information
- Improve availability: stock, price, multilingual

#### Academia and users:

- Understand and remove setup-induced spectral variations
- Engagement in standardization development



### Take-home message:

#### **Broadening the scope of standardization documents:**

- Different Raman techniques
- Polarization
- Handheld devices
- Fitting
- Data storage: ontologies and FAIR databases
- Sampling/sample preparation (filtering, digestion, etc.)
- Sample characteristics (substrate, matrix, particle/crystal size, packing)
- **Sample environment** (T, humidity) and **positioning** (orientation, focus)
- Laser **power density** (heating/degradation)

IEC TR 61292-4:2014 Maximum permissible optical power for the damagefree and safe use of optical amplifiers, including Raman amplifiers

NIST SRM 2241

"No significant changes in the shape of the luminescence spectrum occur over the range of laser power densities commonly used in Raman instruments"



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#### Applied Spectroscopy

Review of Existing Standards, Guides, and Practices for Raman Spectroscopy

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# Thank you!

Questions?

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